



INVESTOR IN PEOPLE

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 4 December 2003

THIS PAGE BLANK (USPIC



1/77  
28MAR03 E795798-1 002246  
P01/7700-0400-0207107.3

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

1. Your reference

P015269GB

2. Patent application number

(The Patent Office will fill in this part)

0307107.3

27 MAR 2003

3. Full name, address and postcode of the or of each applicant (underline all surnames)

ARM Limited  
110 Fulbourn Road  
Cherry Hinton  
Cambridge  
CB1 9NJ

Patents ADP number (if you know it)

7498124002

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

9/12/5/2003

4. Title of the invention

Data Filtering

5. Name of your agent (if you have one)

D Young & Co

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

21 New Fetter Lane  
London  
EC4A 1DA

Patents ADP number (if you know it)

59006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d))

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form 0

Description 10

Claim(s) 8

Abstract 1

Drawing(s) 5 only

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 3

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 0

Any other documents 0  
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

*Julia Mills*

Date 26 March 2003

D Young & Co (Agents for the Applicants)

12. Name and daytime telephone number of person to contact in the United Kingdom

Julia Mills

023 8071 9500

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

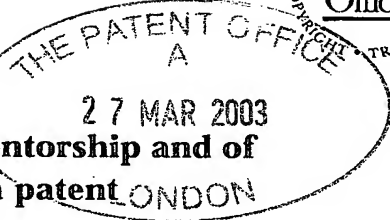
Patents Form 7/77

Patents Act 1977  
(Rule 15)



7/77

Statement of inventorship and of  
right to grant of a patent



The Patent Office

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

1. Your reference P015269GB
- 
2. Patent application number 0307107.3  
(if you know it) 27 MAR 2003
- 
3. Full name of the or of each applicant ARM LIMITED
- 
4. Title of the invention Data Filtering
- 
5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent By virtue of employment
- 
6. How many, if any, additional Patents Forms 7/77 are attached to this form? 3  
(see note (c))
- 
7. I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to.  
Signature *Julia Mills* Date 26 March 2003  
D Young & Co (Agents for the Applicants)
- 
8. Name and daytime telephone number of person to contact in the United Kingdom Julia Mills  
023 8071 9500

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there are more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.
- d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.
- e) Once you have filled in the form you must remember to sign and date it.

Patents Form 7/77

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Surname: <u>CARPENTER</u>
First Names: Paul Matthew
22 Brackyn Road Cambridge CB1 3PQ United Kingdom
Patents ADP number (if you know it): 8086514001

Surname: <u>SYMES</u>
First Names: Dominic Hugo
3 Applewood Close Cherry Hinton Cambridge CB1 9NU United Kingdom
Patents ADP number (if you know it): 7994601001

Surname: _____
First Names: _____
Patents ADP number (if you know it): _____

Reminder

Have you signed the form?

# Data Filtering

The present invention relates to the field of data filtering and, in particular, in certain preferred embodiments to the field of deblocking filters in video decoders.

In the field of video decoders, for example, in MPEG decoders, it is known to  
5 use deblocking filters to improve the picture. This is particularly important in situations where there is a low bit rate, as for example, in the transmission of video data to a mobile telephone.

Deblocking filters consist of some decision logic, which is used to decide where the boundary of a block is to be found, i.e. to detect a horizontal and/or vertical  
10 line and then to decide whether the line is part of the picture or is merely the boundary of a block and as such should be removed. This decision logic is then followed by a low-pass filter that can be applied across the block boundaries.

An example of a deblocking filter which is used in the MPEG-4 standard is given below. The matrix notation of this filter is shown in Figure 1.

15 The impulse response of the filter is:

$$(1, 1, 2, 2, 4, 2, 2, 1, 1) / 16$$

When applied the filter operates on an input array of ten pixels (x0 to x9), and updates the middle eight pixels (y1 to y8). Whenever the filter would access pixels outside the input data, the value of the appropriate boundary pixel is substituted. A  
20 matrix notation of the filter is shown in Figure 1. Figure 1 shows the matrix notation of a low pass filter that acts as a deblocking filter in an MPEG decoder.

One drawback of applying this filter to the decoded data is that it is expensive to do, and in some implementations requires twice the number of cycles as the MPEG-4 decoder itself.

25 A first aspect of the present invention provides a method of filtering data comprising: applying a plurality of m filter coefficients which each have a value which is a negative power of two or is zero and which sum to one, to a plurality of m input

data items to produce a filtered output data item, by performing a sequence of averaging calculations, comprising averaging input data items to each of which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations such that data width of any calculated data does not exceed that of the input data being averaged.

10 The present invention recognises the properties of the filter coefficients, i.e. that they are generally negative powers of two and sum to one and uses these properties to combine the input data with the filtering coefficients by using a sequence of averaging calculations. The average of two numbers is the sum of the two numbers divided by two and thus filter coefficients that are a negative power of two can be applied to the input data by a suitable sequence of averaging operations. The advantage of manipulating the data using a series of averaging calculations is that the data width of an average of two numbers is never larger than the data width of the larger of the two numbers. Thus, if the input data were a series of 8-bit numbers the filter coefficients could be applied to the input data and a filtered output data item obtained without the need to use registers that are larger than 8-bits wide. This can significantly reduce the number of registers required to perform the filtering calculations and thus, can enable several calculations to be performed in parallel thereby significantly increasing the speed of calculation.

25 Although the averaging calculation can in some embodiments comprise averaging four or more data items to which a smallest filter coefficient is to be applied together, preferably, the sequence of averaging calculations comprises averaging two items of input data to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with other first averaged data or with an input data item to which a filter coefficient that is twice as large as the smallest filter coefficient is to be applied.



In preferred embodiments, the sequence of averaging calculations further comprises successive further averagings, wherein averagings are performed between sets of input data, the sets of input data comprising averaged input data or individual input data items, averages only being performed between sets of input data where the sum of the filter coefficients to be applied to each set of input data are equal.

The sequence of averaging calculations are performed in a certain way so that the filter coefficients are appropriately applied to the input data. The rules for this are set out above and examples of averagings that follow these rules are given below and involve averages such as averaging a pair of averaged input data items to which a smaller coefficient is to be applied with an input data item to which a filter coefficient that is twice the value of the smaller coefficient is to be applied, or averaging two averaged pairs of input data items to which the smaller coefficient is to be applied either with an input data item to which a filter coefficient that is four times the value of the smaller coefficient is to be applied, or with an averaged pair of input data items to which the filter coefficient that is twice the value of the smaller coefficient is to be applied.

Although the filter coefficients may consist of a single row of coefficients to be applied to a plurality of input data items to produce a single output data item, in preferred embodiments it comprises repeatedly performing the steps of a first aspect of the present invention such that an array of  $m \times n$  filter coefficients are applied to an array of  $m$  input data items to produce a plurality of  $n$  output data items.

In preferred embodiments, the step of performing a sequence of averaging calculations includes selecting the input data that is to be averaged in dependence upon the frequency that two pieces of input data have common filter coefficients applied to them, and where possible selecting to average two pieces of data that have common filter coefficients applied to them a plurality of times, such that the calculated average of the input data can be re-used.

The way that the calculations are performed means that the average of certain input data items may be used in calculations for different output data items where they have common filter coefficients applied to them. There is some choice in the data items that are to be averaged provided that certain rules are followed. Thus, a way to  
5 improve performance of the filter is to select data to be averaged in such a way that data items whose averages are required in several calculations are averaged and the results re-used.

In some embodiments the filter coefficients have the required properties of  
10 being a negative power of two and summing to one, whereas in others they may not. This does not, however, necessarily mean that embodiments of the invention cannot be used in such circumstances. In some embodiments the method comprises the further step of, prior to applying the plurality of filter coefficients to the input data, arranging the coefficients such that they all have values that are a negative power of two and  
15 they sum to one.

Preferably, the step of arranging the filter coefficients such that each coefficient has a value that is a negative power of two, comprises splitting any filter coefficient that does not have this property into a sum of components, each component  
20 having a value that is a negative power of two.

Thus, in the example given in the introduction for the MPEG-4 filter, the 6s appearing in the corners of the filter coefficients can be divided into a  $4 + 2$ , and then applied to the input data. The data can then be combined using appropriate averaging  
25 calculations.

Although the method can be applied to a plurality of different filters, it is particularly applicable to a situation where the filter coefficients relate to a low-pass filter, and preferably, a deblocking filter.

30 In preferred embodiments, the input data relates to an array of pixels. The filter of embodiments of the present invention is particularly good at filtering video

data and can be used advantageously, in situations where the input data comprises an array of ten pixels, the filter coefficients being such that output data comprises an array of eight pixels. Thus, the filter acts on an input array of ten pixels, and updates the middle eight pixels.

5

A further aspect of the present invention provides, a computer program product for applying a plurality of  $m$  filter coefficients to a plurality of  $m$  input data items comprising: filtering logic operable to control a processor to combine filter coefficients which each have a value that is a negative power of two and that sum to one, with a plurality of  $m$  input data items to produce a filtered output data item, by controlling the processor to perform a sequence of averaging calculations, the sequence of averaging calculations comprising averaging input data items to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations such that a data width of any calculated data does not exceed that of the input data items.

Although the input data items can be of any size in some embodiments, they each comprise 8 bits. This makes them particularly adapted for handling by many conventional processors.

Although the filtering logic may comprise a number of different forms, in preferred embodiments it comprises a plurality of SIMD instructions. This form of instructions is particularly well adapted for handling these calculations.

The use of SIMD instructions for handling 8-bit pieces of data means that in some processors having 32 bit registers four pieces of input data can be handled in parallel. This increases the speed of processing of the calculations considerably.

30

Preferably, the filtering logic is operable to set the carry flag to indicate if the filtered output data is to be stored or discarded.

A property of embodiments of the present invention is that as the calculations performed are all averages, the carry flag is never needed, and it can therefore be used to indicate other things, such as whether data is to be stored or can be discarded. This can be particularly important in some embodiments, where the decision between filtering or not filtering is made for individual input data items that are being calculated in parallel and for which some results may need to be stored and some discarded.

A still further aspect of the present invention provides A data processing apparatus comprising a processor operable to filter input data, the data processing apparatus comprising: filtering logic operable to control the processor to apply a plurality of  $m$  filter coefficients which each have a value that is a negative power of two and which sum to one, to a plurality of  $m$  input data items to produce a filtered output data item, by controlling the processor to perform a sequence of averaging calculations the sequence of averaging calculations comprising averaging input data items to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations, such that a data width of any calculated data does not exceed that of the input data.

Particular embodiments of the present invention will now be described by way of example only with reference to the accompanying drawings, of which:

Figure 1 shows the matrix notation of a known low pass filter which acts as a deblocking filter in an MPEG decoder;

Figure 2 schematically shows the blocks on a decoded MPEG-4 video picture prior to deblocking filtering;

Figures 3A and 3B show a filtered pixel calculated as a sequence of averages of the input pixels; and

Figure 4 shows the application of filter coefficients that are not initially  
5 negative powers of two.

Figure 2 schematically shows a video picture that is formed from MPEG-4 encoded and then decoded data, prior to any deblocking. As can be seen it comprises blocks of data. A and B comprise lines of pixels which cross the block boundaries. It  
10 is these lines of pixels that need to be filtered by any deblocking filter to remove the "block" effect from the picture

The deblocking filtering of any data occurs after the decoding. MPEG decoding involves feedback of some data and thus it is important that any calculations  
15 are accurate as errors can be magnified by the feedback process. The deblocking filtering occurs after the feedback loop and as such it is less important if the calculated data is not bit exact.

A deblocking filter consists of some decision logic, which is not relevant here,  
20 followed by a low-pass filter that is applied across the block boundaries (A and B).

It has been noticed that low pass filters used in deblocking generally have filter coefficients that are negative powers of two and that add to one. It was noticed that this property of the filter coefficients could be used to enable the output data to be  
25 calculated using a series of averaging operations. This would have the advantage that the working data is represented by a fixed number of bits throughout the calculation. It should be noted that calculating the output data in this way may not be completely bit exact as there will be some rounding of data at the various averaging stages. However, as noted above this is not important at this point in the process.

Using the example of the deblocking filter given in the introduction which operates on an input array of ten pixels and updates the middle eight pixels (see Figure 1),  $y_5$  can be calculated as a sequence of averages as is shown in Figure 3A and 3B.

5        There are several possible ways of averaging the input data to calculate  $y_5$ . Figure 3A shows one possible way in which  $y_5$  can be calculated as a sequence of averages, while Figure 3B shows another. These two possibilities are shown as a sequence of averaging operations using a tree representation. This procedure is very similar to building a Huffman tree. It should be noted at this point that the use of  
10    Huffman trees in regard to deblocking filters is not something that has been contemplated before, nor is it at all obvious to do so given the different field in which Huffman trees are generally used. For each row in the matrix an appropriate tree can be chosen.

15        As can be seen from Figures 3A and 3B, there are several possible ways that  $y_5$  can be calculated, each involving some difference in the input values that are being averaged. The possibility of averaging different input values and still arriving at the same answer enables one to choose different ways of performing the calculation. This enables input values that have the same filter coefficients applied to them in several  
20    different calculations to be chosen to be averaged together, so that this averaging result can be re-used in a later calculations. For example, the averaging of  $x_6$  and  $x_7$  could also be used in the calculation of  $y_3$  and  $y_8$ . Similarly,  $x_8$  and  $x_9$  can also be used in the calculation of  $y_6$ .

25        Thus, for each row in the matrix, an appropriate tree is chosen. The optimal choice of trees provides the greatest number of common sub expressions between the rows in the matrix, subject to the number of available CPU registers.

30        To aid in the formation of the trees, it is often possible to factorise the original filter into the product of filters with impulse response. The filter shown in Figure 1 can be factorised into the product of two filters with impulse response  $(1, 1, 1, 0, 1) / 4$

and  $(1, 0, 1, 1, 1) / 4$ . This hints at the best tree composition but it can be improved upon.

It should be noted that the averagings are performed according to a Huffman  
 5 tree distribution and this results in averages only being performed between sets of  
 input data where the number of averaged input data items times the filter coefficient  
 that is to be applied to them are equal. That is to say  $x_8, x_9, x_{10}$  and  $x_{11}$ , each have  
 filter coefficients of 1 applied to them so they are averaged first. If the average of all  
 10 four is used, then these must be averaged either with a data input item having a filter  
 coefficient of four applied to it ( $x_5$ ) or with the average of two data items having a  
 filter coefficient of two applied to them (an average of two of  $x_3, x_4, x_6$  or  $x_7$ ) and so  
 on.

As can be seen each data point is generally used exactly once. However, in  
 15 some cases, a filter coefficient may not be a negative power of two. See for example,  
 the 6s in the corner of the matrix shown in Figure 1. These are dealt with by splitting  
 them into a sum of  $2 + 4$ . This splitting of the coefficients is generally done by the  
 programmer and not by the processor, although the processor could in some  
 embodiments be programmed to perform such an operation. Thus, the amended split  
 20 coefficients are input into the processor. Figure 4 shows how this could be applied  
 when calculating  $y_8$ .

In an embodiment of the present invention, this idea can be implemented using  
 the ARMv6 (Advanced RISC Machines of Cambridge England) 8-bit SIMD  
 25 instructions. This means that the deblocking filter can be implemented in 8-bit SIMD,  
 allowing four input values to be calculated in parallel. This gives a factor of two  
 improvement in speed over 16-bit SIMD. This is very attractive given the fact that  
 generally the deblocking filter is twice as expensive as the MPEG-4 decoder is.

30 A further advantage of embodiments of the present invention is that as the data  
 width never increases throughout the calculation, the carry flags are never needed.  
 These can therefore be used to carry other information. In a deblocking filter

according to an embodiment of the present invention the decision between filtering and not filtering is made at each boundary pixel. As the implementation processes four pixels in parallel, not all of them may be required. Before writing the set of four pixels back to the frame buffer, the SEL instruction selects the appropriate  
5 combination of updated and/or original pixels. These are indicated by information held in the carry flags.

Although a particular embodiment of the invention has been described herein, it will be apparent that the invention is not limited thereto and that many modifications  
10 and additions may be made within the scope of the invention. For example, various combinations of the features of the independent claims could be made with the features of the dependent claims without departing from the scope of the present invention.



### CLAIMS

1. A method of filtering data comprising:
  - 5 applying a plurality of  $m$  filter coefficients which each have a value which is a negative power of two or is zero and which sum to one, to a plurality of  $m$  input data items to produce a filtered output data item, by performing a sequence of averaging calculations, the sequence of average calculations comprising:
    - averaging input data items to each of which a smallest filter coefficient is to be
    - 10 applied to produce first averaged data; and
    - averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied, the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations such that a data width of any calculated data does not exceed
    - 15 that of the input data being averaged.
2. A method according to claim 1, wherein the sequence of averaging calculations comprising averaging two items of input data to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with
- 20 other first averaged data or with an input data item to which a filter coefficient that is twice as large as the smallest filter coefficient is to be applied.
3. A method according to claim 1 or 2, the sequence of averaging calculations further comprising successive further averagings, wherein averagings are performed
- 25 between sets of input data, the sets of input data comprising averaged input data or individual input data items, averages only being performed between sets of input data where the sum of the filter coefficients to be applied to each set of input data are equal.
4. A method of filtering data according to any one of claims 1 to 3, comprising
- 30 repeatedly performing the method steps such that an array of  $m \times n$  filter coefficients

are applied to a plurality of  $m$  input data items to produce a plurality of  $n$  output data items.

5. A method according to claim 4, wherein the step of performing a sequence of averaging calculations includes selecting the input data that is to be averaged in dependence upon the frequency that two pieces of input data have common filter coefficients applied to them, and where possible selecting to average two pieces of data that have common filter coefficients applied to them a plurality of times, such that the calculated average of the input data can be re-used.

6. A method according to any preceding claim, comprising the further step of, prior to applying the plurality of filter coefficients to the input data, arranging the coefficients such that they all have values that are a negative power of two and they sum to one.

7. A method according to claim 6, wherein the step of arranging the filter coefficients such that each coefficient has a value that is a negative power of two, comprises splitting any filter coefficient that does not have this property into a sum of components, each component having a value that is a negative power of two.

8. A method according to any preceding claim, wherein the filter coefficients relate to a low-pass filter.

9. A method according to claim 8, wherein the filter coefficients relate to a deblocking filter.

10. A method according to any preceding claim, wherein the input data relates to an array of pixels.

11. A method according to claim 10, wherein the input data comprises an array of ten pixels, the filter coefficients being such that output data comprises an array of eight pixels.

12. A computer program product for applying a plurality of  $m$  filter coefficients to a plurality of  $m$  input data items comprising:

- filtering logic operable to control a processor to combine filter coefficients
- 5 which each have a value that is a negative power of two and that sum to one, with a plurality of  $m$  input data items to produce a filtered output data item, by controlling the processor to perform a sequence of averaging calculations, the sequence of averaging calculations comprising:
  - averaging input data items to which a smallest filter coefficient is to be applied
  - 10 to produce first averaged data; and
  - averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations such that a data width of any calculated data does not exceed
  - 15 that of the input data items.

13. A computer program product according to claim 12, wherein the sequence of averaging calculations comprises averaging two items of input data to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first

20 averaged data with other first averaged data or with an input data item to which a filter coefficient that is twice as large as the smallest filter coefficient is to be applied.

14. A computer program product according to claim 12 or 13, the sequence of averaging calculations further comprising successive further averagings, wherein

25 averagings are performed between sets of input data, the sets of input data comprising averaged input data or individual input data items, averages only being performed between sets of input data where the sum of the filter coefficients to be applied to each set of input data are equal.

30 15. A computer program product of filtering data according to any one of claims 12 to 14, wherein the filtering logic is operable to control the processor to repeatedly perform the sequence of averaging calculations such that an array of  $m \times n$  filter

coefficients are applied to a plurality of  $m$  input data items to produce a plurality of  $n$  output data items.

16 A computer program product according to claim 15, wherein the filtering logic  
5 is further operable to control a processor to select pairs of input data items that are to  
be averaged in dependence upon the frequency that the pairs of input data items have  
common filter coefficients applied to them, such that where possible two input data  
items that have common filter coefficients applied to them a plurality of times are  
selected, such that a calculated average of the input data can be re-used.

10

17. A computer program product according to any one of claims 12 to 16, further  
comprising:

coefficient arranging logic arranged prior to the filtering logic and operable to  
control a processor to arrange the filter coefficients such that each coefficient has a  
15 value that is a negative power of two and the coefficients sum to one.

18. A computer program product according to claim 17, wherein the coefficient  
arranging logic is operable to control a processor to split any filter coefficient that does  
not have a value that is a negative power of two into a sum of components, each  
20 component having a value that is a negative power of two.

19. A computer program product according to any one of claims 12 to 18, wherein  
the filtering logic comprises a low-pass filter.

25 20. A computer program product according to claim 19, wherein the low-pass filter  
comprises a deblocking filter.

21. A computer program product according to any one of claims 12 to 20, wherein  
the input data relates to an array of pixels.

30

22. A computer program product according to claim 21, wherein the input data comprises an array of ten pixels, the filter coefficients being such that filtered data comprises an array of eight pixels.

5 23. A computer program product according to any one of claims 12 to 22, wherein the input data items each comprise 8 bits.

24. A computer program product according to any one of claims 12 to 23, wherein the filtering logic comprises a plurality of SIMD instructions.

10

25. A computer program product according to claim 24 when dependent on claim 23, wherein the filtering logic is operable to process four input data items in parallel.

15

26. A computer program product according to claim 24 or 25, wherein the filtering logic is operable to set the carry flag to indicate if the filtered output data is to be stored or discarded.

27. A data processing apparatus comprising a processor operable to filter input data, the data processing apparatus comprising:

20

filtering logic operable to control the processor to apply a plurality of  $m$  filter coefficients which each have a value that is a negative power of two and which sum to one, to a plurality of  $m$  input data items to produce a filtered output data item, by controlling the processor to perform a sequence of averaging calculations, the sequence of averaging calculations comprising:

25

averaging input data items to which a smallest filter coefficient is to be applied to produce first averaged data; and

30

averaging the first averaged data with other averaged input data or with input data items to which larger filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to the plurality of  $m$  input data items via a sequence of averaging calculations, such that a data width of any calculated data does not exceed that of the input data.

28. A data processing apparatus according to claim 27, wherein the sequence of averaging calculations comprises averaging two items of input data to which a smallest filter coefficient is to be applied to produce first averaged data and averaging the first averaged data with other first averaged data or with an input data item to which a filter coefficient that is twice as large as the smallest filter coefficient is to be applied.

29. A data processing apparatus according to claim 27 or 28, the sequence of averaging calculations further comprising successive further averagings, wherein averagings are performed between sets of input data, the sets of input data comprising averaged input data or individual input data items, averages only being performed between sets of input data where the sum of the filter coefficients to be applied to each set of input data are equal.

30. A data processing apparatus according to any one of claims 27 to 29, wherein the filtering logic is operable to control the processor to repeatedly perform the sequence of averaging calculations such that an array of  $m \times n$  filter coefficients are applied to a plurality of  $m$  input data items to produce a plurality of  $n$  output data items.

31. A data processing apparatus according to claim 30, wherein the filtering logic is further operable to control the processor to select the input data that is to be averaged in dependence upon the frequency that two pieces of input data have common filter coefficients applied to them, and where possible to select to average two pieces of data that have common filter coefficients applied to them a plurality of times, such that the calculated average of the input data can be re-used.

32. A data processing apparatus according to any one of claims 27 to 31, further comprising coefficient arranging logic, the coefficient arranging logic being operable before the filtering logic to control the processor to arrange the filter coefficients such that each coefficient has a value that is a negative power of two and the coefficients sum to one.

33. A data processing apparatus according to claim 32, wherein the coefficient arranging logic is operable to control a processor to split any filter coefficient that does not have a value that is a negative power of two into a sum of components, each component having a value that is a negative power of two.

5

34. A data processing apparatus according to any one of claims 27 to 33, wherein the filtering logic comprises a low-pass filter.

35. A data processing apparatus according to any one of claims 27 to 34, wherein  
10 the input data relates to an array of pixels.

36. A data processing apparatus according to claim 35, wherein the input data comprises an array of ten pixels, the filter coefficients being such that filtered data comprises an array of eight pixels.

15

37. A data processing apparatus according to any one of claims 27 to 36, wherein the input data items each comprise 8 bits.

38. A data processing apparatus according to any one of claims 27 to 37, wherein  
20 the filtering logic comprises a plurality of SIMD instructions.

39. A data processing apparatus according to claim 38 when dependent on claim 37, wherein the processor comprises 32 bit registers which are operable to process four input data items in parallel.

25

40. A data processing apparatus according to claim 38 or 39, the processor further comprising at least one carry flag, said filtering logic being operable to set the carry flag to indicate if filtered output data is to be stored or discarded.

30 41. A method of filtering data substantially as hereinbefore described with reference to Figures 2 to 4.

42. A data processing apparatus substantially as hereinbefore described with reference to Figures 2 to 4.

43. A computer program product substantially as hereinbefore described with  
5 reference to Figures 2 to 4.



**ABSTRACT****DATA FILTERING**

A method, computer program product and data processing apparatus for  
5 filtering data, in particular for use in deblocking filters. The method comprising  
applying a plurality of  $m$  filter coefficients which each have a value which is a  
negative power of two and which sum to one, to a plurality of  $m$  input data items to  
produce a filtered output data item, by performing a sequence of averaging  
calculations comprising averaging input data items to which a smallest filter  
10 coefficient is to be applied to produce first averaged data and averaging the first  
averaged data with other averaged input data or with input data items to which larger  
filter coefficients are to be applied the plurality of  $m$  filter coefficients being applied to  
the plurality of  $m$  input data items via a sequence of averaging calculations such that a  
data width of any calculated data does not exceed that of the input data being  
15 averaged.

Figure 3A

**THIS PAGE BLANK (USPTO)**

1/5

Figure 1

$$\begin{pmatrix} y1 \\ y2 \\ y3 \\ y4 \\ y5 \\ y6 \\ y7 \\ y8 \end{pmatrix} = \frac{1}{16} \begin{pmatrix} 6, & 4, & 2, & 2, & 1, & 1, & 0, & 0, & 0, & 0 \\ 4, & 2, & 4, & 2, & 2, & 1, & 1, & 0, & 0, & 0 \\ 2, & 2, & 2, & 4, & 2, & 2, & 1, & 1, & 0, & 0 \\ 1, & 1, & 2, & 2, & 4, & 2, & 2, & 1, & 1, & 0 \\ 0, & 1, & 1, & 2, & 2, & 4, & 2, & 2, & 1, & 1 \\ 0, & 0, & 1, & 1, & 2, & 2, & 4, & 2, & 2, & 2 \\ 0, & 0, & 0, & 1, & 1, & 2, & 2, & 4, & 2, & 4 \\ 0, & 0, & 0, & 0, & 1, & 1, & 2, & 2, & 4, & 6 \end{pmatrix} \begin{pmatrix} x0 \\ x1 \\ x2 \\ x3 \\ x4 \\ x5 \\ x6 \\ x7 \\ x8 \\ x9 \end{pmatrix}$$

THIS PAGE BLANK (USE)

2/5

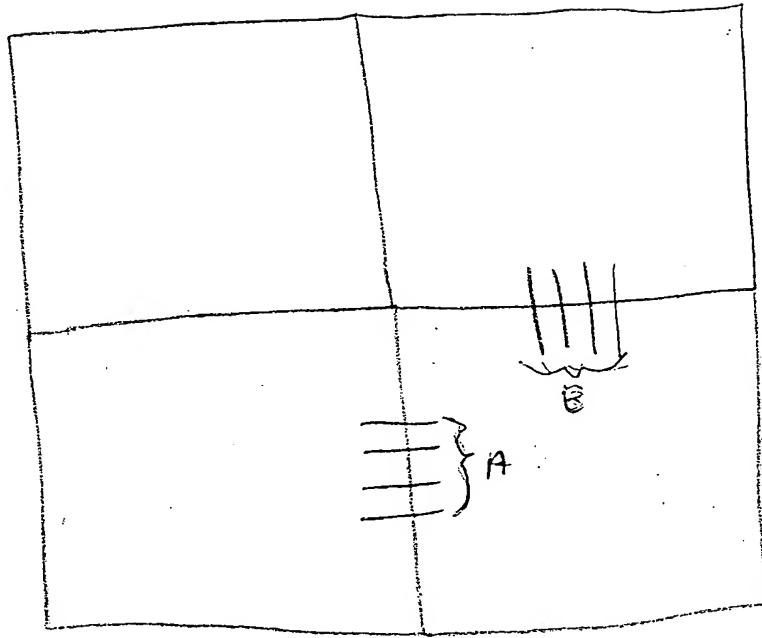
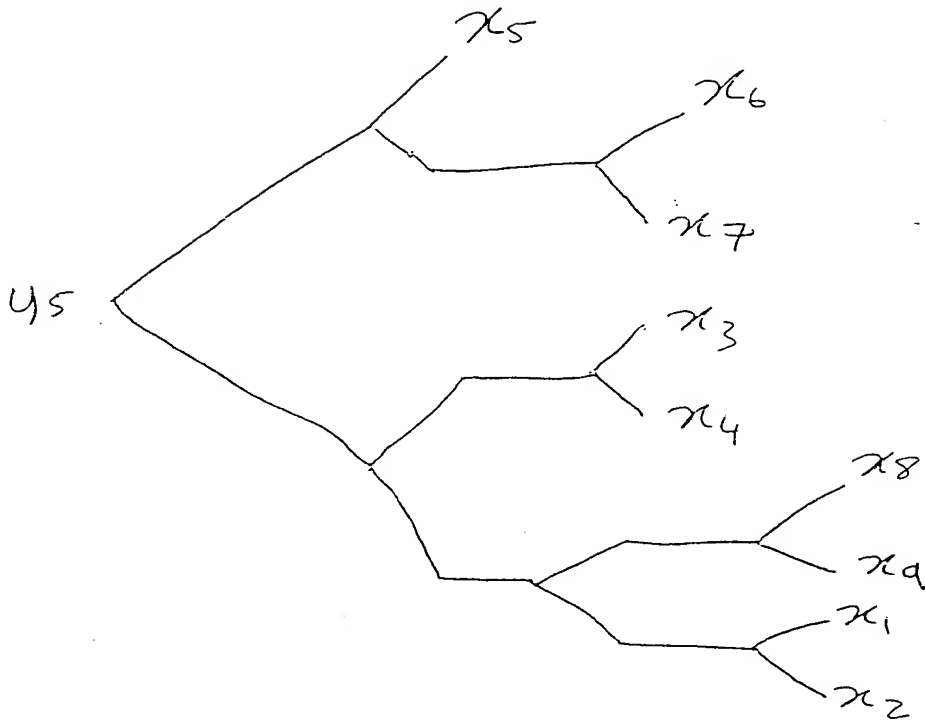


Figure 2

THIS PAGE BLANK (USPTO)

3/5



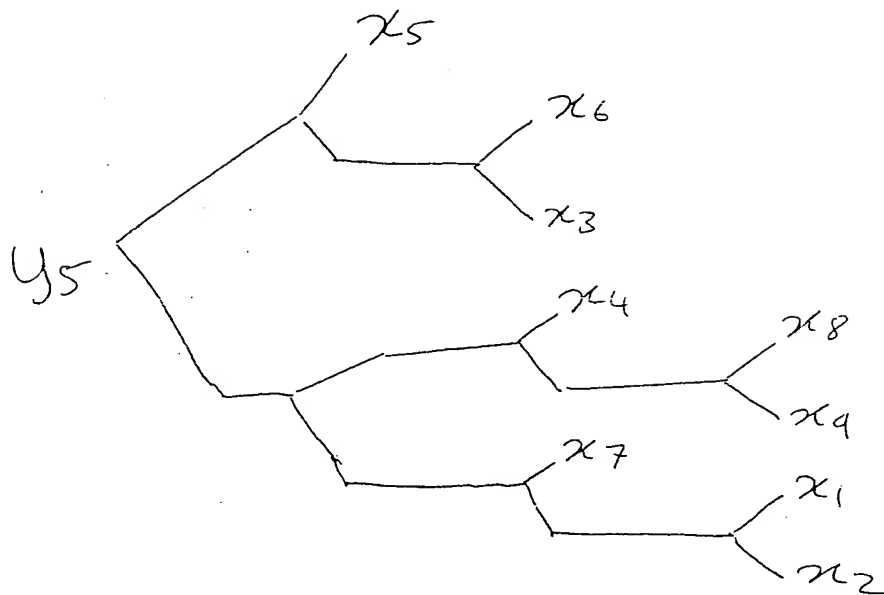
$$y_5 = \frac{1}{2} \left\{ \frac{1}{2} \left[ x_5 + \frac{(x_6 + x_7)}{2} \right] + \frac{1}{2} \left\{ \frac{(x_3 + x_4)}{2} + \frac{1}{2} \left[ \frac{(x_8 + x_9)}{2} + \frac{(x_1 + x_2)}{2} \right] \right\} \right\}$$

Figure 3A

THIS PAGE BLANK (USPTO)



415



$$y_5 = \frac{1}{2} \left( \frac{1}{2} \left[ x_5 + \frac{(x_6 + x_3)}{2} \right] + \frac{1}{2} \left( \frac{1}{2} \left[ x_4 + \frac{(x_8 + x_9)}{2} \right] + \frac{1}{2} \left[ x_7 + \frac{(x_1 + x_2)}{2} \right] \right) \right)$$

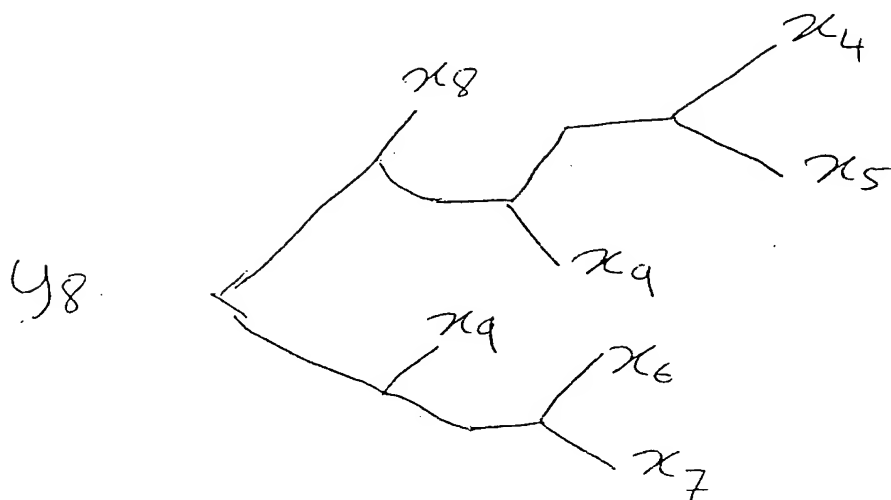
Figure 3B

THIS PAGE BLANK (USPTO)

5/5

$$y_8 = \frac{1}{16} (\kappa_4 + \kappa_5 + 2\kappa_6 + 2\kappa_7 + 4\kappa_8 + 6\kappa_9)$$

$$= \frac{1}{16} (\kappa_4 + \kappa_5 + 2\kappa_6 + 2\kappa_7 + 4\kappa_8 + 4\kappa_9 + 2\kappa_9)$$



$$y_8 = \frac{1}{2} \left[ \frac{1}{2} \left[ \kappa_9 + \left( \frac{\kappa_6 + \kappa_7}{2} \right) \right] + \frac{1}{2} \left[ \kappa_8 + \frac{1}{2} \left[ \kappa_9 + \left( \frac{\kappa_4 + \kappa_5}{2} \right) \right] \right] \right]$$

Figure 4

**THIS PAGE BLANK (USPTO)**